

Kevin Michael Ulmer, Ph.D.

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SUMMARY OF EXPERIENCE & ACCOMPLISHMENTS

- Serial biotech entrepreneur and inventor
- Seasoned biotechnology executive with over 30 years of experience, especially with start-ups
- Extensive knowledge and expertise in all ‘omics technologies
- Highly creative and inventive with broad multidisciplinary scientific background
- Proven track record of anticipating major technology trends and innovations
- Internationally recognized for ability to simply explain complex technology to lay audiences

PROFESSIONAL ACTIVITIES

Seaqsester.org

Woods Hole, Massachusetts

Founder, Chairman and Executive Director: November 2012 to present.

- Carbon sequestration in the ocean
- ATOEM – Autonomous Transient Ocean Event Monitoring submersible platform

SomaLogic, Inc.

Boulder, Colorado

Senior Consulting Advisor: August 2011 to August 2013. (full-time)

- μ SOMA™ microfluidic assay development

Marine Biological Laboratory

The Josephine Bay Paul Center for Comparative Molecular Biology & Evolution

Woods Hole, Massachusetts

Visiting Investigator: November 2010 to June 2011.

Woods Hole Oceanographic Institution

Marine Chemistry & Geochemistry (Christopher M. Reddy – sponsor)

Woods Hole, Massachusetts

Guest Investigator: July 2010 to present.

- Technology commercialization – Office of Applied Oceanography
- Biofuels from marine microalgae
- Marine “omics”
- *In situ* “omics” instrumentation
- Global Biogeochemical Flux Observatory ([GBF-O](#))

Genome Corp

East Sandwich, Massachusetts

Chief Executive Officer: December 2009 to present.

Complete Genomics, Inc.

Mountain View, California

Consulting Scientist: December 2008 to March 2009 (full-time)

- Genome Sequencing Center design & operations planning

GenEncap, Inc. (formerly **Genome Corporation**)

Providence, Rhode Island

Founder, Director, President & Chief Science Officer: July 2007 to present

- Massively Parallel Sanger DNA Sequencing
- Microencapsulation
- Flow Focusing
- \$750K in seed funding from the **Slater Technology Fund**

Really Tiny Stuff, Inc.

Cohasset, Massachusetts

Founder, Chairman & CEO: February 2002 to December 2009

- Massively Parallel DNA Sequencing
- Single DNA molecule amplification
- Disease allele discovery
- Nanotechnology pioneer
- IP generation and tool building - contributed to formation of **GenEncap**

Helicos Biosciences Corporation

Cambridge, Massachusetts

Consulting Scientist: January 2004 to December 2005 (full time)

- Direct single-molecule DNA sequencing by synthesis
- Single molecule imaging instrumentation development
- Robotic sequencing reagent formulation & dispensing
- Microfluidics for single-molecule imaging flow cell
- Single-molecule sequencing experiments

Exact Sciences Corporation

Maynard, Massachusetts and Salem, New Hampshire

Consultant: October 1995 to February 1996.

- Assisted founder **Stanley Lapidus** with formation of company focused on early stage colon cancer detection from DNA in stool
- Co-inventor on first two key patents

Member - Scientific Advisory Board: February 1996 to March 1999.

- Emeritus member – March 1999

Consultant: February 2001 to February 2002.

- Assisted with development of novel digital PCR technology platform

Kevin M. Ulmer, Ph.D.

- Primary responsibility for key patent in-licensing
- Inventor of novel microdroplet format for “digPCR”

Center for Student Coastal Research (fka - **Cohasset Lobster Lab, Inc.**)

Cohasset, Massachusetts

Founder & President: January 1998 to June 2002.

- Founder of unique extracurricular research and education facility for student-initiated and student-conducted original research with focus on lobster genome project
- Established 501(c)(3) tax-exempt non-profit organization
- Obtained 10-year lease for \$1 per year from **Town of Cohasset** for building on Cohasset harbor
- Designed laboratory renovations for facility
- Obtained >\$200K in private funding and commitments

BeautyMedicines, Inc. (fka **Pavonis, Inc.**)

Cohasset, Massachusetts

Founder, Chairman and CEO: August 1994 to February 2001.

- Founded world’s first genomics company focused on cosmetic traits rather than disease
- >\$7M in venture funding from **Atlas Venture** and **Apple Tree Partners**
- \$4M in lease financing from **Comdisco Ventures**, **GE Capital** and **Silicon Valley Bank**
- Designed and equipped 7,000 square foot, state-of-the-art genomics research facility
- Pioneered application of Laser Capture Microdissection (**LCM**) to skin and hair follicles
- Lead discovery of >10,000 novel genes from human scalp
- Invented novel method and formulation for permanent hair removal

Boston University

Department of Biomedical Engineering

Center for Molecular Engineering, Design & Analysis

Boston, Massachusetts

Adjunct Professor: April 1991 - May 1992.

- Assisted in creation of the **Center for Advanced Biotechnology**

Praelux, Inc. (fka **SEQ, LTD.**)

Cohasset, Massachusetts & Lawrenceville, New Jersey

Founder, Chairman and President: September 1987 - January 1992.

- Founded world’s first dedicated genomics company four years prior to official launch of Human Genome Project
- Conceived of novel, single-molecule DNA sequencing technology
- Recruited Scientific Advisory Board
- First demonstration of single fluorescent molecule detection in solution by Advisor **Rudolf Rigler**, **Karolinska Institute**, Stockholm, Sweden
- Obtained venture funding from **Johnston Associates**, Princeton, New Jersey

Executive Vice-President, Chief Scientific Officer & Director: January 1992 - March 1997.

- Recruited premier single-molecule detection team from AT&T **Bell Laboratories**
- Obtained corporate funding from **Bristol-Myers Squibb**

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- Major grant support from National Human Genome Research Institute ([NHGRI](#))
- Acquired by Amersham Biosciences (now [GE Healthcare](#)) – February 2000

RIKEN - The Institute for Physical and Chemical Research

Frontier Research Programs

Wako, Saitama, Japan

Head - Laboratory for Bioelectronic Materials: October 1986 - September 1991.

- Founding Director of initial phase of international research program
- “Sister” labs for Organic Electronic Materials and Nano Electronic Materials
- Focus on modeling, engineering and analysis of 2D crystals of cytochrome b₅₆₂
- Directed international research effort of 10 person research team + 15 academic collaborators
- Beta test site for [Stardent](#) graphics supercomputer

Center for Advanced Research in Biotechnology (CARB)

Rockville, Maryland

Director: April 1985 - June 1987.

- Founding Director of academic centerpiece of [Shady Grove Life Sciences Center](#), now home to [Celera](#), [Human Genome Sciences](#), The Institute for Genomic Research ([TIGR](#)) and the [J. Craig Venter Institute](#).
- Responsible for selecting focus on macromolecular structure determination and prediction, protein engineering and structure-based drug design
- Jointly funded by the [University of Maryland](#) (as the first Center of the [Maryland Biotechnology Institute](#)) and the National Institute of Standards and Technology ([NIST](#))
- Designed and initiated construction of \$10M, 40,000 square foot research facility
- Developed initial master plan for 50 acre CARB site
- Recruited initial senior scientific staff
- Directed beta test site for [NCUBE parallel supercomputer](#)
- Initiated structure determination program for IL-4 with [Otsuka Pharmaceuticals](#)

University of Maryland Baltimore County

Department of Biological Sciences

Catonsville, Maryland

Adjunct Associate Professor: April 1981 - June 1985.

Adjunct Professor: July 1985 - June 1987.

- Assisted in creation of first [biotechnology technician degree program](#)

Genex Corporation

Gaithersburg, Maryland

Research Scientist: September 1979 - December 1979.

- 6th employee of the 3rd genetic engineering company in the world
- Recombinant engineering of aromatic amino acid pathway in *E. coli* to produce L-tryptophan

Senior Research Scientist: January 1980 - February 1981.

- Initiated research on hollow fiber immobilized enzyme production of L-aspartate

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Special Projects Manager: March 1981 - December 1981.

- Established pre-**GenBank** in-house DNA sequence data base and sequence analysis software
- DNA sequence analysis support of interferon and other gene cloning programs

Director of Exploratory Research: January 1982 - November 1983.

- Early proponent of “molecular electronics” and nanotechnology
- Provided technical support for international corporate development activities
- Conceived of field of protein engineering (1983 *Science* **219**:666)
- Negotiated \$16.5M joint venture with Bendix Corporation to fund protein engineering and solid-state, antibody-based biosensor development

Research Director for Protein Engineering: December 1982 - November 1984.

- Recruited and directed world’s largest commercial protein crystallography team
- Established facility for 2D protein crystallization & monolayer formation

Vice President - Advanced Technology: December 1983 - March 1985.

- Conceived of and directed effort to develop first **single-chain antibodies**
- Serine protease engineering for enhanced stability and nerve agent detoxification

Massachusetts Institute of Technology
Department of Nutrition & Food Science

Cambridge, Massachusetts

Chaim Weizmann Postdoctoral Fellow: September 1978 - August 1979.

- Established first recombinant DNA laboratory in Department
- Pioneered work on site-directed mutagenesis
- Initiated development of automated fluorescence DNA sequencer

EDUCATION

Ph.D. Biological Oceanography - June 1978
Joint Program in **Biological Oceanography**
Massachusetts Institute of Technology
Cambridge, Massachusetts
Woods Hole Oceanographic Institution
Woods Hole, Massachusetts

Thesis title: **"Rate Zonal Density Gradient Ultracentrifugation Analysis of Repair of Radiation Damage to the Folded Chromosome of Escherichia coli."**

B.A. Biology & Physics - June 1972
Williams College
Williamstown, Massachusetts

Undergraduate Thesis Title: **"A Tunable Organic Dye Laser Microbeam"**

Degree completed in three years.

AWARDS & HONORS

Sigma Xi Centennial **Lecturer** – 1986

The Biologist as Engineer

Indianapolis, Indiana - March

Lincoln, Nebraska - April

Milwaukee, Wisconsin - September

Ottawa, Canada - October

Davis, California - November

Boston, Massachusetts - November

Chaim Weizmann Postdoctoral Fellow: September 1978 - August 1979.

Department of Nutrition & Food Science

Massachusetts Institute of Technology

Cambridge, Massachusetts

Travel Award - May 1979

"6th International Congress of Radiation Research"

Tokyo, Japan

Radiation Research Society - USA

Research Fellow - June 1972 to June 1978.

Joint Program in **Biological Oceanography**

Massachusetts Institute of Technology

Cambridge, Massachusetts

Woods Hole Oceanographic Institution

Woods Hole, Massachusetts

Phi Beta Kappa

Magna cum laude

Highest honors - Biology

Highest honors - Physics

Sigma Xi Recognition for Undergraduate Research

Williams College - June 1972

Williamstown, Massachusetts

PROFESSIONAL SOCIETY MEMBERSHIPS

American Association for the Advancement of Science (AAAS)

American Crystallographic Association

Sigma Xi

Society of Photo-Optical Instrumentation Engineers (SPIE)

American Geophysical Union (AGU)

IEEE – Oceanic Engineering Society

ADVISORY & REVIEW BOARDS

“Environmental Sample Processor (**ESP**) Development: Targeting Cost Reductions, Robustness and Improved User Interface” – NOAA Grant
PCB-HAB Technical Advisory Committee
McLane Research Laboratories, Inc.
East Falmouth, Massachusetts – September 2010 to present.

Global Biogeochemical Flux Observatory (GBF-O) – Co-convener & Scientific Steering Committee

Woods Hole Oceanographic Institution
Woods Hole, Massachusetts – September 2010 – ongoing

Human Genome Data Base (GDB)
DOE site visit & review
Johns Hopkins University - June 1997.

Executive Mini-Course on Genetics/Genomics - Planning Committee
The Jackson Laboratory - July 1995.

"Biology in the First Decade of the Twenty-First Century"
8th Science and Technology Forum
Japan Science Foundation
Oiso, Japan - January 1989.

"Prospects and Problems of Biomolecular Devices" - Report
Research & Development Association for Future Electron Devices
Tokyo, Japan - June 1988.

"Collaborative Research Arrangements in Biotechnology" - Panel Member
Congress of the United States - Office of Technology Assessment (OTA)
Washington, D.C. - April 1987.

The National Project for Molecular Parameter Development (Co-Founder)
Center for Advanced Research in Biotechnology
Rockville, Maryland - September 1986.

Member - NASA/USRA (Universities Space Research Association)
Biotechnology Discipline Working Group - September 1986 to October 1987.

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Technical Consultant Review Panel
"Jobs, Science and Technology Bond Act Proposals:
Senior Public Sector Panel"
New Jersey Department of Higher Education
Bordentown, New Jersey - May 1986.

Ohio Eminent Scholars Program
Expert Consultant - Site Visit Team
Ohio State University Proposal in "Protein Engineering"
Ohio State University - April 1986.

Industrial Research Chair - Protein X-Ray Crystallography (site visit)
Natural Sciences and Engineering Research Council of Canada
University of Toronto - February 1986.

American Society for Testing and Materials (ASTM)
Founding Chairman - Committee E48 on Biotechnology - October 1985 thru June 1987.

Science Task Force
Montgomery County Public Schools
Montgomery County, Maryland - September 1985 to August 1986.

Search Committee for Director of COMB
Center of Marine Biotechnology (COMB)
University of Maryland - July 1985 to December 1985.

Task Force on Agricultural Biotechnology
University of Maryland - April 1985 to February 1986.

Center for Advanced Research in Biotechnology (CARB)
Advisory & Planning Committees - January 1984 thru March 1985.

Research Briefing on Chemical and Process Engineering for Biotechnology
Chairman: Arthur Humphrey (Prepared for George Keyworth, OSTP)
National Research Council
Washington, D.C. - July - September 1984.

Cold Neutron Source Review Committee
Center for Cold Neutron Research
National Bureau of Standards
Gaithersburg, Maryland - February 1984

Patent Committee
Genex Corporation
Rockville, Maryland - 1979-1985

INVITED SEMINARS, LECTURES & PAPERS PRESENTED

Autonomous Transient Ocean Event Monitoring (ATOEM)

Graduate School of Oceanography

University of Rhode Island

Narragansett, RI - September 2014

Autonomous Transient Ocean Event Monitoring (ATOEM)

18th International Symposium on Unmanned Untethered Submersible Technology

Portsmouth, NH – August 2013

The Oceans and Global Warming – Victim or Savior? – Keynote Speaker

“Interdisciplinary Perspectives on Water and Marine Environments from the Giants’ Shoulders: Increasing Global Awareness for the Young Generation”

Toyo University – 125th Anniversary Symposium

Tokyo and Itakura, Japan – March 2013

Global Biogeochemical Fluxes Program for the Ocean Observatories Initiative: A Proposal

American Geophysical Union, Special Session GC33, Fall-Meeting

San Francisco, CA - December 2010

Human Genome Sequencing: What’s in it for me?

Brown Alumni Club

Brown University

Providence, RI – December 2009

Sequencing is Dead. Long Live Sequencing! – Keynote Address

Exploring Next-Generation Sequencing

Cambridge Healthtech Institute

Providence, Rhode Island – October 2007

Really Tiny Stuff, Inc.: Massively Parallel Sanger DNA Sequencing

Crossroads 10th Annual Venture Fair

New Haven, Connecticut – April 2003

“Best Ignite Company” – runner up

Pharmacogenomics & Perspectives on Select Tools of the Genomics Trade

Leerink Swann & Company/MEDACorp Physician Conference

Boston, Massachusetts & New York, New York – March 2000

Pavonis, Inc.

Atlas Venture Life Sciences Meeting

Cambridge, Massachusetts – October 1997

Proteome Chips and Single-Molecule DNA Sequencing: Potential Genomics Applications for Aptamers and Ribozymes?

Department of Molecular, Cellular & Developmental Biology
University of Colorado at Boulder - September 1997

Application of Single-Molecule Manipulation & Spectroscopy to Genomics, Diagnostics & High-Throughput Screening

NeXstar Pharmaceuticals, Inc.
Boulder, Colorado - March 1997

Further Progress on the Detection of Native Nucleotides

Single Molecule Spectroscopy: New Systems and Methods
Monte Verità, Ascona, Switzerland - March 1996

Single-Molecule DNA Sequencing with Native Nucleotides

International Workshop on "Single Molecule Detection: Basics and Applications in Life Sciences"
BiosQuant GmbH
Berlin, Germany - October 1995

A Fab Facility for DNA Sequencing

Boston Area MEMS Seminar (BAMS)
Massachusetts Institute of Technology - September 1995

Direct Sequencing of Single Native DNA Molecules: A Progress Report

[Seventh International Genome Sequencing and Analysis Conference](#)
Hilton Head, South Carolina - September 1995

Sequencing of Single, Native DNA Molecules by Fluorescence

The Human Genome Project: Commercial Implications
San Francisco, California - March 1995

SEQ, LTD.

B.I.O. Asian Partnering Mission
Tokyo, Japan - November 1994

SEQ, LTD.

Innovative Drug Development '93
New York, New York - March 1993

Research on Bioelectronic Materials

Symposium on the 1st Phase Achievements of the Frontier Research Program
Tokyo, Japan - November 1991

Stepping Stones to Bioelectronic Materials
Laboratory for Bioelectronic Materials Forum
Frontier Research Program
RIKEN, Japan - June 1991

Prospects and Problems of Bioelectronic Materials
Symposium on Molecular Electronics
Babson College - November 1990

Engineering Protein Crystallization & Self-Assembly
The Structure and Engineering of Proteins
Boston Research Community Minisymposium
Boston University - June 1990

Mosaic Tiling of the Plane With Proteins
Nanotechnology: Molecular Engineering and its Implications
The Fifth NSG Nanotechnology Symposium
Massachusetts Institute of Technology - January 1990

Problems and Prospects for Bioelectronics
Interdisciplinary Research Opportunities in Molecular Electronics
Arizona State University - November 1989

Prospects and Problems of Biomolecular Electronics
Digital Equipment Corporation
Hudson, Massachusetts - November 1989

Crystallization and Self-Assembly in Two-Dimensional Protein Crystals
Organic Ultrathin Films
Max Planck Institut - RIKEN Joint Symposium
RIKEN, Japan - March 1989

Problems and Prospects for Bioelectronics
Future Prospects for Molecular Electronics
Ciba Foundation/Royal Society Discussion Meeting
London, England - March 1989

Problems and Prospects for Bioelectronics
L'Electronique Moleculaire - d'ARAGO 7
L'Observatoire Français des Techniques Avancées (l'OFTA)
Paris, France - December 1988

Cellular Automata From Engineered Proteins
9th Course: Towards the Biochip
International School of Pure & Applied Biostructure

Ettore Majorana Centre for Scientific Culture Erice, Sicily - November 1988

Protein Engineering for Bioelectronics

Materials Research Forum - Bioelectronics Section & Bioelectronic Materials Laboratory
RIKEN, Japan - October 1988

Bioelectronic Materials

Department of Chemistry
University of Lowell
Lowell, Massachusetts - September 1988

Bioelectronic Materials and Protein Engineering

MACRO '88
Kyoto, Japan - August 1988

Protein Engineering

Electronics Design Center
Case Western Reserve University - October 1987

Rational Improvement of Enzymes

Biotechnology in Chemistry - The Challenge for Biocatalysis
EUCHEM Conference
Schloß Elmau
Mittenwald, West Germany - October 1987

Current Achievements in Protein Engineering and the Future Prospects

At the Front of Protein Engineering
Association for the Progress of New Chemistry
Fujinomiya & Tokyo, Japan - August 1987

Protein Engineering for the Development of Novel Affinity Separations

Frontiers in Bioprocessing
University of Colorado at Boulder - June 1987

Engineering Proteins for Biosensor Applications

International Workshop on Biosensors
Gesellschaft für Biotechnologische Forschung mbH (GBF)
Braunschweig-Stöckheim, West Germany - June 1987

The Center for Advanced Research in Biotechnology

National Library of Medicine - Director & staff
Bethesda, Maryland - April 1987

Strategies for Bioelectronic Materials Development

Foundations for Bioelectronic Materials Development (Chairman)
International Frontier Research Forum

RIKEN, Japan - March 1987

Molecular Properties of Proteins as Phototransducers

Photocontrol of Gene Expression

International Frontier Research Forum

RIKEN, Japan - March 1987

Bioelectronic Materials

Inauguration Lecture Meeting of Frontier Research Programs

RIKEN, Japan - March 1987

Guidelines and Test Methods for Biotechnology

Symposium: rDNA-Derived Proteins: Toxicological Considerations

Society of Toxicology - 26th Annual Meeting

Washington, D.C. - February 1987

Protein Engineering and Rational Drug Design

Biological Applications Program

Office of Technology Assessment (OTA)

Washington, D.C. - February 1987

Considerations for the Analysis of Nanostructures

"In Search of Philosophy for Developing New Materials"

Sixth Science and Technology Forum

Japan Science Foundation

Hakone, Japan - January 1987

Materials Science and Protein Engineering

Exploring Nanotechnology - MIT Nanotechnology Study Group

Massachusetts Institute of Technology - January 1987

The Center for Advanced Research in Biotechnology and Biosensors

Naval Surface Weapons Center

Silver Spring, Maryland - November 1986

The Center for Advanced Research in Biotechnology

American Society for Microbiology

Washington Area Chapter

Biotechnology Mini-Symposium

Walter Reed Army Medical Center - October 1986

Biotech's Future

Symposium on Recombinant DNA

20th Middle Atlantic Regional Meeting

American Chemical Society

Baltimore, Maryland - September 1986

Protein Engineering: Optimizing the Protein for the Process

Fifth International Conference on Biochemical Engineering (co-chairman: "Basic Advances in Biological Sciences")

Henniker, New Hampshire - July 1986

Protein Engineering

Advanced Molecular Cloning Course

Cold Spring Harbor Laboratory - July 1986.

Seat-of-the-Pants Protein Engineering

Molecular Graphics Symposium: New Drug Design and Protein Engineering

University of California at Irvine - June 1986

Technology Transfer and University Industrial Relationships

Molecular Graphics Symposium: New Drug Design and Protein Engineering

University of California at Irvine &

California College of Medicine Symposium - June 1986

Biology in Transition

Science Futures Symposium

University of Texas at Austin - April 1986

Forecasting the Commercial Impact of Protein Engineering

Symposium on Computer Aided Protein Engineering (session Chairman)

American Chemical Society Annual Meeting

New York, New York - April 1986

Protein Engineering

Georgetown University

Department of Chemistry - April 1986

Current State of the Art in Protein Engineering

Center for Strategic Technology

Texas Engineering Experiment Station

Texas A & M University - February 1986

Computer Applications at CARB (Center for Advanced Research in Biotechnology)

"International Congress on Computers in Biotechnology"

Baltimore, Maryland - January 1986

The New Biology: Engineering DNA, Proteins and Living Cells

"Biotechnology - Risks and Rewards"

The Smithsonian Institution

Washington, D.C. - January 1986

Protein Engineering at the Center for Advanced Research in Biotechnology

Eastman Kodak Company

Biochemistry Laboratory

Biosciences Division

Rochester, New York - December 1985

Protein Engineering

Fisher Scientific/Mediatech Joint Seminar

Washington, D.C. - December 1985

Engineering Protein Monolayers

Department of Macromolecular Science

Case Western Reserve University - December 1985

Biomolecular Assembly for Bioelectronic Devices

"Bioelectronic and Molecular Electronic Devices"

International Symposium on Future Electron Devices

Tokyo, Japan - November 1985

Henry Ford and Protein Engineering

"BIO 85 JAPAN"

Osaka, Japan - November 1985

Structure Analysis in Protein Engineering

"BIOTEC 85"

Düsseldorf, West Germany - October 1985

Protein Engineering: A New World of Polymer Chemistry?

Chemical Society of Washington (Washington Section of the American Chemical Society)

Georgetown University - October 1985

Bioseparations

Inter-Organizational Committee on Separations

National Research Council

Washington, D.C. - September 1985

Implementing Emerging Technologies: Problems and Progress

"The Global Economy: Today, Tomorrow, and the Transition"

World Futures Society

Washington, D.C. - August 1985

Protein Modeling

"Protein Engineering Workshop"

National Research Council of Canada
Ottawa, Canada - May 1985

Protein Modeling

"Protein Engineering: Applications in Basic Science, Industry & Medicine"
Fourth Stony Brook Symposium on Molecular Biology
SUNY Stony Brook - May 1985

Engineered Enzymes: Status and Prospects

"Seventh Symposium on Fuels & Chemicals from Biomass"
Gatlinburg, Tennessee - May 1985

Protein Engineering: The Second Decade in Biotechnology

Council of Scientific Society Presidents
Washington, D.C. - May 1985

Protein Engineering

Eastern North Carolina Section of the American Chemical Society
University of North Carolina at Wilmington - April 1985

Designing New Enzymes - Workshop Chairman

"Protein Structure, Folding & Design"
Genex/UCLA Symposium
Keystone, Colorado - March 1985

State of the Art of Protein Engineering

"Protein Alterations: Genetics, Biophysics & Engineering"
University of Rochester Medical Center - March 1985

Protein Engineering

"Mini Workshop on Biotechnology"
Materials Research Council
Defense Advanced Research Projects Agency (DARPA)
Arlington, Virginia - February 1985

Protein Engineering

Biomedical Engineering and Instrumentation Branch
National Institutes of Health - December 1984

Protein Engineering

"Biotechnology Symposium '84"
Japan Management Association
Tokyo, Japan - November 1984

Biomaterials in Electronics

North Carolina State University - September 1984

"New Horizons" - Session Chairman
BIOTECH '84
Washington, D.C. - September 1984

Biotechnology: State of the Art
"Synthetic Membranes"
Gordon Research Conference - June 1984

Education and Training: Employers' Best Choices
"The Challenge of Changing Technology"
Metropolitan Washington Council of Governments
National Academy of Sciences
Washington, D.C. - April 1984

Rational Modification of Proteins
American Society of Microbiology
North Central Branch Annual Meeting
Milwaukee, Wisconsin - April 1984

Potential Electronic Applications of Biological Materials
"COMPCON 84"
IEEE Computer Society
San Francisco, California - February 1984

Biotechnology and Microsensors
"Biotechnology and Microsensor Information Acquisition"
University of Pennsylvania - November 1983

Engineering Novel Enzymes
"Seventh Engineering Foundation Conference on Enzyme Engineering"
White Haven, Pennsylvania - September 1983

Potential Electronic Applications of Engineered Proteins
"Organic Electronic Materials"
Department Of Energy Workshop
Snowmass, Colorado - June 1983

Self-Organizing Protein Monolayers as Substrates for Molecular Device Fabrication
"Workshop on Molecular Electronic Devices II" (member - Organizing Committee)
Naval Research Laboratory
Washington, D.C. - April 1983

The Future of Biotechnology

Nature Biotechnology - executive and editorial staff at journal launch
New York, New York - March 1983

Biotechnology and Innovation

"Creativity: Concepts and Applications"
National Defense University - Industrial College of the Armed Forces
Washington, D.C. - November 1982

Genetic Engineering

"Education and Technology Conference"
New Jersey Department of Education
East Brunswick, New Jersey - October 1982

Genetically Engineered Proteins and Molecular Device Fabrication

IBM Research Laboratory
Yorktown Heights, New York - April 1982

Biotechnology: Applications to Personal Care and OTC Drug Products

New England Chapter of the Society of Cosmetic Chemists
Boston, Massachusetts - March 1982

"Biotechnology Update: An Overview for the Top Executive"

Robert S. First, Inc.
New York, New York - February 1982

The Future of Enzymes

Institute of Food Technologists
Clifton, New Jersey - January 1982

Protein, Polymer, and Molecular Alternatives to Transistor Switching

Bell Telephone Laboratories
Holmdel, New Jersey - December 1981

Feedstock Options: Biomass

"Second Annual Chemical Feedstocks Conference"
Houston, Texas - November 1981

Applicability of Genetic Engineering to the Chemical Industry in the 1980's and 1990's

"Biotechnology: Present Status and Future Prospects"
Robert S. First, Inc.
Tokyo, Japan - May 1981
White Plains, New York - June 1981

Genetic Engineering: Hype or Hope?
1981 Melvin Oliven Memorial Lecture
Kirkwood Community College
Cedar Rapids, Iowa - April 1981

"Genetic Engineering Forum"
Phi Beta Kappa Association
Chicago, Illinois - April 1981

Strategies for Efficient Expression in Genetically Engineered Bacteria
Howard University
Washington, D.C. - March 1981

Biological Assembly of Molecular Ultracircuits
"Workshop on Molecular Electronic Devices" (member - Organizing Committee)
Naval Research Laboratory
Washington, D.C. - March 1981

*Recombination-Dependent Repair of DNA Double-Strand Breaks in
Gamma-Irradiated Folded Chromosomes of *Escherichia coli*.*
"Sixth International Congress of Radiation Research"
International Radiation Research Society
Tokyo, Japan - May 1979

OTHER MAJOR CONFERENCES, WORKSHOPS & COURSES ATTENDED

" μ TAS 2011"

Seattle, Washington – September 2011

"Microfluidics 2.0"

University of Washington
Seattle, Washington – September 2011

"Next-Generation Sequencing" - Co-Chair with Prof. Annelise Barron – Stanford

MSB 2009: 23rd International Symposium on MicroScale Bioseparations

Boston, Massachusetts - February 2009

"Exploring Next Generation Sequencing"

Cambridge Healthtech Institute

Providence, Rhode Island - September 2008

"2002 Engineering/Manufacturing Conference: MEMS"

Massachusetts Institute of Technology

Cambridge, Massachusetts – March 2002

“MEMS Technology Workshop”
IntelliSense Corporation
Wilmington, Massachusetts – October 2001

“BioMEMS 2001”
Sunnyvale, California – May 2001

“SNPs and Pharmacogenomics”
Philadelphia, Pennsylvania – March 2001

"11th International Genome Sequencing and Analysis Conference"
Miami, Florida - September 1999

“Genome Mapping & Sequencing”
Cold Spring Harbor Laboratory - May 1999

"Tenth International Genome Sequencing and Analysis Conference"
Miami, Florida - September 1998

“Genome Mapping & Sequencing”
Cold Spring Harbor Laboratory - May 1998

“Genetics of Aging”
Cold Spring Harbor Laboratory - April 1998

"Ninth International Genome Sequencing and Analysis Conference"
Hilton Head, South Carolina - October 1997

“Conference on Breast Development, Physiology & Cancer”
National Institutes of Health
Bethesda, Maryland - June 1997

“Genome Mapping & Sequencing”
Cold Spring Harbor Laboratory - May 1997

"Eighth International Genome Sequencing and Analysis Conference"
Hilton Head, South Carolina - October 1996

“Quantitative Genetics & Biotechnology”
Gordon Research Conference
Ventura, California - February 1997

“Molecular Genetic Profiling”
Cambridge Healthtech Institute

McLean, Virginia - June 1996

“Bioinformatics and Genome Research”

Cambridge Healthtech Institute

Baltimore, Maryland - June 1996

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - May 1996

“Organic Thin Films”

Gordon Research Conferences

Ventura, California - January 1996

“Symposium on Genomic Medicine”

The Institute for Genomic Research - TIGR

Gaithersburg, Maryland - December 1995

“Emerging Roles of High-Performance Computing in Biotechnology”

Johns Hopkins University - November 1995

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - May 1995

“Scanning Probe Microscopies III”

“Advanced Optical Methods for Ultrasensitive Detection”

“Advances in DNA Sequencing Technology II”

“Advances in Fluorescence Sensing Technology II”

Photonics West '95

San Jose, California - February 1995

“Speeding Discovery in Biomedical Research”

Alex, Brown & Sons / The Genome Data Base at Johns Hopkins University

Baltimore, Maryland - October 1994

"Genome Sequencing and Analysis Conference VI"

Hilton Head, South Carolina - September 1994

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - May 1994

"The Human Genome Project: Commercial Implications"

San Francisco, California - February 1994

"Genome Sequencing and Analysis Conference V"

Hilton Head, South Carolina - October 1993

"Genome Mapping and Sequencing"

Cold Spring Harbor Laboratory - May 1993

"Human Genetics: Mapping the Future"

Nature *Genetics*

Washington, D.C. - April 1993

"Optical Tweezers and Optical Material: Radiation Pressure Through the Microscope"

Opticon '92

Boston, Massachusetts - November 1992

"Genome Sequencing IV"

Hilton Head, South Carolina - September 1992

"Transcription & Drug Discovery"

Oncogene Science Conference

Cold Spring Harbor Laboratory - October 1992

"Gene Therapy"

Cold Spring Harbor Laboratory - September 1992

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - May 1992

"Diagnostic & Therapeutic Aspects of Human Genome Research"

Public Health Service Technology Transfer Forum

National Institutes of Health - November 1991

"Human Genome III"

San Diego, California - October 1991

"Genome Sequencing III"

Hilton Head, South Carolina - September 1991

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - May 1991

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - May 1990

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - April 1989

"Genome Mapping & Sequencing"

Cold Spring Harbor Laboratory - April 1988

"Organic Thin Films"

Gordon Research Conference

Oxnard, California - February 1988

"Cost of Human Genome Projects"

Mapping the Human Genome

United States Congress - Office of Technology Assessment (OTA)

Washington, D.C. - August 1987

"Committee E48 on Biotechnology" - Chairman

American Society for Testing & Materials (ASTM)

Cincinnati, Ohio - May 1987

"First CODATA Workshop on Nucleic Acid and Protein Sequencing Data"

Committee on Data for Science and Technology (CODATA)

Center for Advanced Research in Biotechnology (CARB)

National Bureau of Standards

Gaithersburg, Maryland - May 1987

"Mapping the Human Genome"

United States Congress - Office of Technology Assessment (OTA)

Washington, D.C. - April 1987

"The Second Symposium on U.S.-Japan Science & Technology Exchange: Patterns of Interdependence" (moderator for "Biotechnology" session)

McLean, Virginia - November 1986

"Committee E48 on Biotechnology" - Chairman

American Society for Testing & Materials (ASTM)

San Jose, California - November 1986

"3rd International Symposium on Molecular Electronic Devices"

(Organizing Committee and Chairman of Biological Theme Session)

Arlington, Virginia - October 1986

"Informational Forum on the Human Genome"

Howard Hughes Medical Institute

National Institutes of Health

Bethesda, Maryland - July 1986

"Mid-Atlantic Protein Crystallography Workshop"

National Bureau of Standards

Gaithersburg, Maryland - May 1986

"Committee E48 on Biotechnology" - Chairman
American Society for Testing and Materials (ASTM)
New Orleans, Louisiana - May 1986

"Organic Thin Films"
Gordon Research Conference
Santa Barbara, California - February 1986

"New Alliances and Partnerships in American Science & Engineering"
Government-University-Industry Research Roundtable
National Academy of Sciences
Washington, D.C. - December 1985

"The University and Applied Research"
National Research Council
Washington, D.C. - October 1985

"Eighth International Conference on Enzyme Engineering"
Helsingor, Denmark - September 1985

"First International Conference on Protein Crystal Growth"
Stanford University - August 1985

"Academic Research Facilities: Financing Strategies & Evaluation Procedures"
National Academy of Sciences
Washington, D.C. - July 1985

"Current Trends in the Production and Use of Microbial Enzymes"
First NOVO Biotechnology Symposium
Copenhagen, Denmark - May 1984

"Protein Folding and Design"
University of Michigan - March 1984

"Conference on Macromolecular Structure & Specificity:
Computer Assisted Modeling & Applications"
New York Academy of Sciences
New York, New York - October 1983

"Organic Thin Films & Solid Surfaces"
Gordon Research Conference - January 1983

"Langmuir-Blodgett Films"
Durham University, U.K. - September 1982

American Crystallographic Association - Summer Meeting
La Jolla, California - August 1982

"Diffraction Methods in Molecular Biology"
Gordon Research Conference - July 1982

"*In Vitro* Mutagenesis"
Cold Spring Harbor Laboratory - May 1982

"Pattern Analysis in Nucleic Acid and Protein Sequences"
EMBO Workshop
Saint-Agnan, France - October 1981

"Genetic Engineering: The 1981 International Conference"
Battelle Memorial Institute - April 1981.

"Introduction of Macromolecules into Eucaryotic Cells"
Gordon Research Conference - June 1980.

"Tumor Biology Course"
Harvard Medical School - November 1978

"Recombinant Molecules"
Tenth Miles International Symposium
Massachusetts Institute of Technology - June 1976

INTERVIEWS, VIDEOS, QUOTES & IN THE NEWS

[The Oceans and Global Warming - Victim or Savior?](#) – Keynote Speaker

“Interdisciplinary Perspectives on Water and Marine Environments from the Giants’ Shoulders:
Increasing Global Awareness for the Young Generation”

[Toyo University](#) – 125th Anniversary Symposium
Tokyo and Itakura, Japan – March 2013.

[Why we need a Hubble for the seas](#) (2010) CNN Opinion piece

[The \\$1,000 Genome](#) (2010) Kevin Davies, Simon & Schuster

[Kevin Ulmer – The Sisyphus of Sequencing](#) (September-October 2010) Kevin Davies, Bio-IT
World Magazine

**[O&A: With One Foot in the Past and Another in the Future, Kevin Ulmer Traces Arc of
Next-Gen Future](#)**

[GenomeWeb: *In Sequence*](#)

February 2009

In the Face of Complete Genomics' Competition, Global Financial Crisis, Genome Corp Closes Doors

GenomeWeb: In Sequence

January 2009

Kevin Ulmer – Exploring Next Generation Sequencing

CHI's "Exploring Next-Generation Sequencing" Conference – October 17-18, 2007

Bio-ITWorld.com Life Science Webcasts

Engines of Creation 2.0: The Coming Era of Nanotechnology (2007) K. Eric Drexler, **WOWIO** Books.

The Promise and Perils of Synthetic Biology, Tucker, J.B. and Zilinskis, R.A. (2006) *The New Atlantis* 12:25.

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Furuno, T., K.M. Ulmer and H. Sasabe (1992) *Scanning Electron Microscopy of Negatively Stained Catalase on a Silicon Wafer*, [Microscopy Research and Techniques 21:32-38](#)

Sasabe, H., T. Furuno, J. Otomo, A. Sato, T. Nagamune and Ulmer (1991) *Control of 2-Dimensional Array of Protein Molecules for Bioelectronics*; *New Journal of Chemistry - Nouveau Journal de Chemie* **15**:149-152.

Furuno, T., H. Sasabe and K.M. Ulmer (1990) *Two-dimensional Dense Packing of Proteins: Purple Membrane Fragments and Ferritin Molecules*, IN: C. Nicolini (ed.), [Towards the Biochip](#), (World Scientific, London), p. 50-56.

Sasabe, H., T. Furuno and K.M. Ulmer (1989) *Two-Dimensional Molecular Array of Proteins for Bioelectronics*, IN: A. Aviram (ed.), [Molecular Electronics—Science and Technology](#), (Engineering Foundation, New York), p. 285-291.

Furuno, T., H. Sasabe and K.M. Ulmer (1989) *Binding of Ferritin Molecules to a Charged Polypeptide Layer of Poly-L-benzyl-L-histidine*, [Thin Solid Films 180:23-30](#).

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Ulmer, K.M. (1987) *Self-Organizing Protein Monolayers as Substrates for Molecular Device Fabrication*. IN: F.L. Carter (editor), [Molecular Electronic Devices II](#), (Dekker, New York), p. 573-590.

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PATENTS & PATENT APPLICATIONS

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Ulmer, K., “Apparatus and Methods for Analyzing Samples”, U.S. Patent No. [7,948,625](#), May 24, 2011. [single-molecule DNA sequencing - [Helicos](#)]

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Ulmer, K., "Continuous Imaging of Nucleic Acids", U.S. Patent Application No. [20100034445](#), February 11, 2010. [single-molecule Sanger DNA sequencing - GenEncap, Inc.]

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Ulmer, K., “Non-contact detection”, U.S. Patent Application No. [20060012113](#), January 18, 2007. [single-molecule DNA sequencing - [Helicos](#)]

Ulmer, K., “Apparatus and Methods for Analyzing Samples”, U.S. Patent No. [7,276,720](#), October 2, 2007. [single-molecule DNA sequencing - [Helicos](#)]

Kwagh, Jae-Gyu, Macklin, John J., Mitsis, Paul G. and Ulmer, Kevin M., “Method for Sequencing and Characterizing Biomolecules Using Aptamers and a Method for Producing Aptamers”, U.S. Patent No. [6,515,120](#) issued February 4, 2003. [single-molecule DNA sequencing - SEQ, Ltd.]

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Ulmer, K.M., “Chemical, Biochemical and Biological Processing in Thin Films”, U.S. Patent No. [5,776,674](#) issued July 7, 1998. [single-molecule DNA sequencing - SEQ, Ltd.]

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Lapidus, S.N., Shuber, A.P. and Ulmer, K.M., “Method for the detection of clonal populations of transformed cells in a genomically heterogeneous cellular sample”. U.S. Patent No. [5,670,325](#) issued September 23, 1997. [[Exact Sciences Corporation](#)]

Ulmer, K.M., Chu, H. and Anderson, J.J., “Expression Vectors”, UK Patent Application [GB 2104901A](#), March 16, 1983. [Genex Corporation]

PATENT EXPERT WITNESS

Kilpatrick Townsend & Stockton LLP

Lead Attorney: Matius Ferrario
Winston-Salem, North Carolina

Expert Witness for Esoterix Genetic Laboratories, LLC: August 2013 to present.

- Patent Infringement Suit
- Inventor - U.S. Patent No. 5,670,325
- Esoterix Genetic Laboratories, LLC v. Life Technologies Corporation, et al.
- Case No. 12-cv-411
- United States District Court for the Middle District of North Carolina - Greensboro Division

Goodwin Proctor LLP

Lead Attorney: Daniel M. Forman
Boston, Massachusetts

Expert Witness for Helicos: March 2012 to September 2012.

Inventor and 30(b)(6) designee for Helicos: February 2011 to September 2012.

- Patent Infringement Suit
- Helicos Biosciences Corporation v. Pacific Biosciences of California, Inc., Life Technologies Corporation, and Illumina, Inc.
- C.A. No. 10-735-SLR
- U.S. District Court for the District of Delaware
- Deposed in Boston, MA – May 2012.
- Deposed in Boulder, CO – May 2012.
- Deposed in Boulder, CO – November 2011.

Kevin M. Ulmer, Ph.D.

- Settled with Pacific Biosciences
- Settled with Life Technologies
- Partial settlement with Illumina
- Judge ruled in favor of Illumina for lack of written description in final patent at issue

Troutman Sanders LLP

Lead Attorney: Daniel A. Ladow
New York, New York

Expert Witness for Life Technologies: December 2011 to April 2012.

- Life Technologies Corporation v. Pacific Biosciences of California, Inc.
- Appeal of Board of Patent Appeals and Interferences (BPAI) decision on Patent Interference No. 105,677 – 31 January 2011
- Case settled

Buchanan Ingersoll & Rooney PC

Lead Attorney: Brian P. O'Shaughnessy
Alexandria, Virginia

Expert Witness – Single-Molecule Sequencing: July 2009 to September 2009

- Deposed in Alexandria, VA – August 2009.
- Representing Life Technologies Corporation
- Patent Interference No. 105,677 (SGL)
- Susan Hardin *et al.* - Junior Party (Patent 7,329,492)
- John G.K. Williams – Senior Party (Application No. 11/459,182)

This is a complete and accurate description of my professional career.



Kevin M. Ulmer, Ph.D.

Date: 5 October 2014